

Recovery, resolution and DGS

Under the Bank Recovery and Resolution Directive (BRRD) on crisis prevention, management and resolution, the EBA is mandated to develop a wide range of Technical Standards, Guidelines and Reports with the aim of ensuring effective and consistent procedures across the Union, in particular with respect to cross-border financial institutions. The ultimate objective of the BRRD framework is to enhance financial stability, reduce moral hazard, protect depositors and critical financial services, save public money and ensure the smooth functioning of the internal market for financial services. The BRRD is complemented by the Deposit Guarantee Scheme Directive (DGSD), which also assigns rulemaking tasks to the EBA, and by other forthcoming regulatory initiatives on financial institutions other than banks. The DGSD aims to facilitate access to the internal market through the freedom of establishment and the freedom to provide financial services while increasing the stability of the banking system and the protection of depositors.

To fulfil its role of monitoring the implementation of the BRRD and the DGSD, national authorities must notify the EBA of any relevant information as well as of any actions taken. The EBA publishes the non-confidential elements of these notifications on its website (i.e. notifications on resolution, liquidation cases with DGS payouts and other DGS interventions), which can be accessed [here](#). Similarly, Member States must periodically report to the EBA data relating to the funding levels of their national Deposit Guarantee Schemes.

documents

- [Delegated Act on ex ante contributions to resolution financing arrangements](#)
- [Decision on reporting of MREL and TLAC data](#)
- [Decision on notifications to the EBA relating to Directive 2014/49/EU on deposit guarantee schemes](#)
- [Regulation \(EU\) 2016/962 on proportionality notifications templates](#)
- [Regulation \(EU\) 2016/911 on disclosure of group financial support agreement](#)
- [Regulation \(EU\) 2016/1400 on business reorganisation plan content](#)
- [Regulation \(EU\) 2016/1540 on criteria for MREL \(minimum requirements for liabilities eligible for bail-in\)](#)

links

- [European Commission website – section on crisis management](#)
- [FSB website - publications on crisis resolution](#)
- [Notifications on resolution cases and use of DGS funds](#)
- [Deposit Guarantee Schemes data](#)
- [Single Rulebook Q&A on Recovery, resolution and DGS](#)

Technical Standards, Guidelines & Recommendations

Technical standards

Guidelines

Recommendations



Status

- Any -

Type of institution

- Any -

Legal act

- Any -

Date

mm / dd / yyyy

Filter

Under development

Regulatory Technical Standards on indirect subscription of MREL instruments within groups

Adopted and published in the Official Journal of the EU

Implementing Technical Standards on reporting of MREL decisions

Adopted and published in the Official Journal of the EU

Regulatory Technical Standards on methodology to estimate P2 and CBR for setting MREL requirements

Final draft RTS/ITS adopted by the EBA and submitted to the European Commission

Regulatory Technical Standards on the contractual recognition of stay powers under BRRD

Final draft RTS/ITS adopted by the EBA and submitted to the European Commission

Implementing Technical Standards on disclosure and reporting of MREL and TLAC

Adopted and published in the Official Journal of the EU

Regulatory Technical Standards on Simplified Obligations

Final draft RTS/ITS adopted by the EBA and submitted to the European Commission

Implementing Technical Standards on procedures, forms and templates for resolution planning

The Bank Recovery and Resolution Directive (BRRD) requires resolution authorities to draw up resolution plans that outline the actions to be taken in case an institution meets the conditions for resolution. The ITS on procedures, standard forms and templates for the provision of information for the purpose of resolution plans sets out a procedure that should be followed when resolution authorities require information about an institution for the purpose of drawing up a resolution plan.

Adopted and published in the Official Journal of the EU

Regulatory Technical Standards on the assessment of recovery plans

Adopted and published in the Official Journal of the EU

Regulatory Technical Standards on contractual recognition of
bail-in

Adopted and published in the Official Journal of the EU

Regulatory Technical Standards on resolution colleges

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Opinions, Reports and other Publications

Opinions

Reports

Other publications



Keywords

Date

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15 June 2010

[Advice_crisismanagement.pdf](#)

CEBS's Advice on the EU Framework for Cross-Border Crisis Management in the Banking Sector

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6 March 2015

[EBA-Op-2015-05 Technical Advice on critical functions and core business .pdf](#)

EBA-Op-2015-05 Technical advice on the delegated acts on critical functions and core business lines

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6 March 2015

[EBA-Op-2015-07 Tehcnical Advice on exclusion from the bail-in tool.pdf](#)

EBA-Op-2015-07 Technical advice on the delegated acts on the circumstances when exclusions from the bail-in tool are necessary

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14 December 2016

[MREL Report - FAQ.pdf](#)

MREL Report - FAQ

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3 May 2011

[EBA-opinion.pdf](#)

Opinion of the European Banking Authority - Opinion on Commission's Services consultation 'Technical Details of a Possible EU Framework for Bank Recovery and Resolution' (3 March 2011)

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14 December 2016

[EBA Final MREL Report \(EBA-Op-2016-21\).pdf](#)

EBA Final MREL Report (EBA-Op-2016-21)

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10 June 2015

EBA-Op-2015-11 Technical Advice on Art 69.pdf

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6 March 2015

EBA-Op-2015-06 Technical Advice on deferral of ex post contributions.pdf

EBA-Op-2015-06 Technical advice on delegated acts on the deferral of extraordinary ex-post contributions to financial arrangements

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8 August 2019

EBA Opinion on the eligibility of deposits coverage level and cooperation between DGSs.pdf

EBA Opinion on the implementation of the DGSD - Eligibility, coverage level and cooperation between DGSs (EBA-Op-2019-10)

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30 October 2019

EBA Opinion on DGS Payouts.pdf

EBA Opinion on the implementation of the DGSD - DGS payouts (EBA-Op-2019-14)

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